

PSC KY No. 9

Cancels PSC KY No. 8

**SHELBY ENERGY COOPERATIVE, INC.**

of

**620 Old Finchville Road  
Shelbyville, Kentucky 40065  
www.shelbyenergy.com**

**RATES, RULES AND REGULATIONS FOR FURNISHING SERVICE**

at

**Counties of:**

Shelby, Henry, Trimble, Carroll, Owen, Franklin,  
Oldham, Jefferson, Spencer and Anderson

**Filed with the PUBLIC SERVICE COMMISSION OF KENTUCKY**

**Issued:** April 26, 2013

**Effective:** October 1, 2013

**Issued By:** *Debra J. Martin*

Debra J. Martin

**Title:** President and CEO

**KENTUCKY  
PUBLIC SERVICE COMMISSION**

**JEFF R. DEROUEN  
EXECUTIVE DIRECTOR**

TARIFF BRANCH

*Brent Kirtley*

EFFECTIVE

**10/1/2013**

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 201

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

1. SCOPE

This schedule of Rules and Regulations is hereby made a part of all contracts for electric service received from Shelby Energy Cooperative Inc., hereinafter referred to as the Cooperative, and applies to all service received, whether such service is based upon a contract, agreement, signed application, or otherwise. No employee or director of the

- (T) Cooperative is permitted to make an exception to rates and rules. The Rules and Regulations
- (T) are on file at the Cooperative's office and a copy may be obtained from Cooperative
- (T) personnel or from the website at: [www.shelbyenergy.com](http://www.shelbyenergy.com). All Rules and Regulations shall
- (T) be in effect to the extent they do not conflict with Public Service Commission Rules and Regulations.

DATE OF ISSUE April 24, 2013  
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DATE EFFECTIVE October 1, 2013  
MONTH / DATE / YEAR

ISSUED BY *Debra J. Martin*  
SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO.                      DATED                     

<b>KENTUCKY</b> <b>PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN</b> <b>EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 202

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

2. REVISIONS

These Rules and Regulations may be revised, amended, supplemented, or otherwise changed from time to time after approval by Shelby Energy Cooperative, Inc.'s Board of Directors and the Public Service Commission. Such changes, when effective, shall have the same force as the present Rules and Regulations.

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 203

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

3. SERVICE AREA

The Cooperative furnishes electric power supplied in portions of Shelby, Henry, Trimble, Carroll, Oldham, Franklin, Owen, Spencer, Anderson, and Jefferson Counties.

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 204

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

4. AVAILABILITY

Available to all members of the Cooperative as specified in the tariff sheets and classifications of service.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 205

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

5. AVAILABILITY OF SERVICE TO DELINQUENT MEMBERS

- (T) The Cooperative will not provide service to any member, or potential member, who is
- (T) indebted to the Cooperative for service provided until payment of such indebtedness has been
- (T) made. If an application is received by a person residing with a delinquent member at the premises where power was supplied to the delinquent member, the application will be denied on the grounds that the applicant is applying as the agent of the delinquent member.

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EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)



FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 206

CANCELLING PSC KY NO. 8

Original SHEET NO. 2

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

6. EASEMENTS

(T) Each member, together with his/her spouse and all other real estate title owners, shall grant or convey to the Cooperative, without cost, any permanent easements reasonably required by the Cooperative to provide or improve electric service to that member for the installation, maintenance, and operation of the Cooperative's electrical distribution system, both existing and future, with right of ingress and egress for these purposes over the Member's property, provided such electrical distribution system is located on real estate owned, rented, or otherwise controlled by the member. The failure or refusal to convey such easements shall constitute grounds for discontinuing service.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 207

CANCELLING PSC KY NO. 8

Original SHEET NO. 2

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

7. RIGHT OF ACCESS

- (T) Each member shall, at the time of application, provide the Cooperative with all necessary
- (T) electrical permits and shall sign, at no cost to the Cooperative, recordable right-of-way
- (T) easements on the member's property furnished by the Cooperative. No member will receive
- (T) service until all required easements have been provided to the Cooperative. The Cooperative
- (T) shall at all reasonable hours and during emergency and outage situations have access to
- (T) meters, service connections, power lines and other property owned by the Cooperative and
- (T) located on the member's premises for purposes of placing, locating, building, constructing,
- (T) operating, replacing, rebuilding, relocating, repairing, improving, enlarging, extending, and
- (T) maintaining on, over, or under such lands and premises, or removing from its electrical
- (T) distribution system, new or existing lines, wires, poles, anchors, and other necessary parts.
  
- (T) Any employee or agent of the Cooperative whose duties require entry onto or into the
- (T) member's premises shall wear a distinguishing uniform, insignia and possess a badge or
- (T) other credentials identifying the person as an employee or agent of the Cooperative. The
- (T) method of identification must be shown to the member upon request.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 208

CANCELLING PSC KY NO. 8

Original SHEET NO. 2

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

8. NO PREJUDICE OF RIGHTS

Failure by the Cooperative to enforce any of the terms of these Rules and Regulations shall not be deemed as a waiver of the right to do so.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 209

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 3

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

9. APPLICATION FOR ELECTRIC SERVICE (T)

(T) Application for service may be made in person or by telephone, fax, mail, e-mail or any other method approved by the Cooperative. Each prospective member desiring electric service shall be required, at the time of application for service, to sign the Cooperative's "Application for Membership and Electric Service" ("Application") and, where applicable for large commercial or industrial accounts, sign a contract pertaining to their particular service. The prospective member must provide the Cooperative with all necessary electrical permits and fully executed rights-of-way easements for the applicable property, provide all necessary load information, pay any required deposit, furnish his/her social security number or federal tax identification number, telephone number, permanent mailing address, place of employment, date of birth, name of spouse and e-mail address (if any).

(N) Should a prospective member decline to provide the personal data necessary to process a credit report, the member shall be required to appear in person at an office of the Cooperative and provide two forms of photo-identification such as a US passport, state-issued driver's license or state/federal-issued identification card, signs the Cooperative's Application for Membership and Electric Service and pays a cash deposit. The photo-identifications must be current and not expired.

(N) When service is not requested in person and the personal data is provided to process a credit report, the prospective member will be advised that the Application must be completed, signed and received by the Cooperative within fifteen (15) days, following the connect date of service, in order to avoid interruption of service.

(N) A copy of the Application along with a letter notifying the prospective member of the fifteen (15) day deadline will be mailed to the address provided by the member on the day service was requested. Should the member's executed Application not be received by the Cooperative within the fifteen (15) day period, service shall be terminated.

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 210

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 3

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

10. MEMBERSHIP

(T) Only one person or entity may be a member for a service meter, and no member may hold more than one membership in the Cooperative. Discontinuance of service will automatically terminate membership. Membership is not transferable from one member to another.

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 211

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 3

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

11. CONTINUITY OF SERVICE

The Cooperative shall use reasonable diligence to provide a constant and uninterrupted supply of electrical power and energy, but if such a supply should fail or be interrupted or become defective through an act of God, or the public enemy, or by accident, strikes or labor (T) troubles, or by action of the elements or by a delay in securing right-of-way easement(s), or other permits needed, or for any other cause beyond the reasonable control of the Cooperative, the Cooperative shall not be liable.

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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 212

CANCELLING PSC KY NO.                     

                     SHEET NO.                     

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

12. SERVICES PERFORMED FOR MEMBERS (N)

- (N) Cooperative employees and representatives are prohibited from making repairs or performing
- (N) services to the member's equipment or property except in cases of emergency. When such
- (N) emergency services are performed, the member will be charged for such service(s) at the rate
- (N) of time and material(s) used.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 213

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 3

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

13. NON-STANDARD SERVICE

- (T) The member shall pay the cost of any special installation necessary to meet the requirements
- (T) for service at other than standard voltage for like services within a member class, or for the
- (T) supply of different voltage regulation other than that required by standard practices.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 214

CANCELLING PSC KY NO. 8

Original SHEET NO. 4

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

14. BILLING

(T) The Cooperative's billing period and billing procedures are as specified in the applicable rate schedule/tariff for electric service. Failure to receive the bill will not release the member from payment obligation.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 215

CANCELLING PSC KY NO. 8

Original SHEET NO. 4

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

15. DEPOSITS

(T) The Cooperative may require a minimum cash deposit or other guaranty to secure payment of bills except for members qualifying for service reconnection pursuant to 807 KAR 5:006, Section 16, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Interest, as prescribed by KRS 278.460, will be paid annually either by refund or credit to the member's bill.

(N) Members filing bankruptcy shall be required to pay a cash deposit within twenty (20) days upon notification of the bankruptcy proceedings which will apply to a new account; a final bill will be calculated on the existing account and the existing deposit, if any, will be credited to the existing account immediately.

(T) A. Residential Deposit

(T) The cash deposit may be waived upon a residential member showing a satisfactory payment record which is no more than two (2) delinquent payments within a twelve (12) month period with the Cooperative or a satisfactory score from a credit reporting agency. Required deposits will be returned after one (1) year if the member has established a satisfactory payment record for that period. If a deposit has been waived or returned and the member fails to maintain a satisfactory payment record, a deposit may then be required.

(T) The Cooperative may require a deposit in addition to the initial deposit if the member's payment record is not satisfactory or the classification of service changes, but the additional deposit will not cause the overall deposit to exceed 2/12ths of the member's actual or estimated annual bill where bills are rendered monthly. An annual review of residential services with one (1) year of payment history may be

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 215.1

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 5

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

15. DEPOSITS (continued)

(T) performed and any account failing to maintain a satisfactory payment record may  
(T) have a deposit calculated and charged to the account. If a deposit on record differs  
(T) from the recalculated amount by more than \$10.00 for a residential member, the  
(T) Cooperative may collect any underpayment and shall refund any overpayment by  
(T) check or credit to the member's bill. The member will be notified of any deposit  
(T) increase or decrease that is applicable following the review. No refund will be made  
(T) if the member's bill is delinquent at the time of the recalculation.

(N) If a decrease to the deposit amount on record is applicable, the credit for the amount  
(N) will be applied to the member's account with the next billing period following  
(N) notification. If an increase to the deposit is necessary, an adjustment for the  
(N) applicable amount will be applied to the consumer's account at the next billing period  
(N) but no sooner than thirty (30) days following the notification.

(T) Upon termination of service, the deposit, including any unpaid interest, will be  
(T) credited to the final bill with any remainder refunded to the member .

(T) A residential member's deposit will be based upon actual usage of the member at the  
(T) same or similar premises for the most recent 12-month period, if such information is  
(T) available. If usage information is not available, the deposit will be based on the  
(T) average bills of similar residential members and premises in the system or the energy  
(T) needs of the service location. The deposit amount shall be equal to 2/12 of the  
(T) residential member's actual or estimated annual bill where bills are rendered monthly.

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BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 215.2

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 5

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

15. DEPOSITS (continued)

In determining whether a residential deposit will be required or waived, the following criteria will be considered:

- (T) 1. Previous payment history with the Cooperative.
- (T) 2. Whether the member has filed bankruptcy proceedings within the last seven (7) years.
- (T) 3. Whether the member has a satisfactory credit record and rating as reported by credit bureaus/agencies.

(T) B. Commercial/Industrial Deposit

(N) No waivers of a cash deposit will be granted for commercial/industrial accounts  
(N) unless an irrevocable bank letter of credit or surety bond is obtained. Security  
(N) deposits will be retained for the life of the account. Interest will be paid on the deposit  
(N) as prescribed by KRS 278.460 and credited to the account annually. Upon  
(N) termination of service, the deposit, including any unpaid interest, will be credited to  
(N) the final bill with any remainder refunded to the member.

(N) A commercial/industrial member's deposit will be based on the energy needs of the  
(N) service location. The deposit amount shall be equal to 2/12 of the member's actual  
(N) or estimated annual bill where bills are rendered monthly. The Cooperative may  
(N) require a deposit in addition to the initial deposit if the member's classification of  
(N) service changes or if there is a substantial change in usage as provided in 807 KAR  
(N) 5:006, Section 8(3).

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ISSUED BY *Debra J. Martin*  
SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 215.3

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 5

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

15. DEPOSITS (continued)

- (T) If a residential or commercial/industrial deposit is held longer than eighteen (18) months, the
- (T) deposit will be recalculated at the member's request based on the member's actual usage. If
- (T) the deposit on account differs from the recalculated amount by more than \$10.00 for a
- (T) residential member or 10% for a non-residential member, the Cooperative may collect any
- (T) underpayment and shall refund any overpayment by check or credit to the member's bill. No
- (T) refund will be made if the member's bill is delinquent at the time of the recalculation.

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SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 216

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 5

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

16. BUDGET BILLING PLANS (N)

(N) The Cooperative offers two budget billing plans for residential members who desire to  
(N) pay a fixed or an approximated monthly amount in lieu of monthly billings for actual usage:  
(N) (1) Levelized Budget Billing Plan and (2) Fixed Budget Billing Plan. Any member who  
(N) qualifies may be placed on either budget billing plan during any month of the year. The  
(N) monthly budget amount will be determined by the Cooperative and will be a minimum of  
(N) 1/12 of the estimated annual usage. The monthly budget amount will be subject to review and  
(N) adjustment during the budget year.

(N) The budget year for both budget billing plans will commence during the month following the  
(N) member's request for budget billing. Requests for the budget plans will be accepted during all  
(N) months of the year. The settlement month for the Fixed Budget Plan will be during the  
(N) twelfth month of billing. There is no specific settlement month for the Levelized Budget Plan  
(N) as the account is adjusted/levelized monthly by a small amount.

(N) Under either budget billing plan, if the member fails to pay the bill as rendered under the  
(N) budget plan, the Cooperative reserves the right to revoke the plan, restore the member to  
(N) regular billing and require immediate payment of any deficiency.

(N) Upon cancellation of either budget billing plan, by the member or by the Cooperative, the  
(N) total outstanding account balance is then due.

(N) Failure to receive a monthly bill, in no way, exempts the member from the provisions of  
(N) these terms and conditions.

(N) A. Fixed Budget Plan

(N) The member's annual usage is divided by eleven (11) and to this amount is added  
(N) any applicable outdoor light charges. This total amount is used as the initial

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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 216.1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

CANCELLING PSC KY NO. 8

Original SHEET NO. 6

RULES AND REGULATIONS

16. BUDGET BILLING PLANS (continued)

(N) fixed amount billed each month. Using 1/11th as the budget amount instead of 1/12th  
(N) allows for small increases in usage to occur without adjusting the budget amount. The  
(N) budget amount may be adjusted up or down during the budget year if usage indicates  
(N) that the account will not be current upon payment of the last budget amount. The last  
(N) bill of the budget year will bring the member's account to a current status.

(N) After establishing twelve (12) months of actual history at a service location and  
(N) payment of any outstanding account balance, a member may transfer from the Fixed  
(N) Budget Plan to the Levelized Budget Plan.

(T) B. Levelized Budget Plan

(T) This plan is only available if the member has had service at the location for at least  
(T) twelve (12) months. The member's last eleven month's actual usage plus the current  
(T) month's usage are totaled and divided by twelve. To this amount is added 1/12th of  
(T) any applicable outdoor light charges. As budget data accumulates on the Levelized  
(T) Budget Plan, 1/12th of any budget plan overage/arrearage, to date, is deducted/added  
(T) to the total amount. The resulting amount is rounded to the nearest whole dollar. This  
(T) is the amount billed as the current month's levelized budget amount.

(T) The amount is recomputed monthly and will fluctuate based upon the member's  
(T) changing usage. The account will self-adjust to a near current status during the budget  
(T) year, provided there are no abnormal deviations in the member's usage. No other  
(T) adjustments are made to the member's account as long as the member meets the  
(T) payment terms of the budget plan.

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TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

1<sup>st</sup> Revised SHEET NO. 217

CANCELLING PSC KY NO. 9

Original SHEET NO. 217

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

17. PARTIAL PAYMENT PLAN

Residential members who are unable to pay their bills in accordance with the Cooperative's regular payment terms may come to the office during regular office hours or use other available services to make arrangements for a partial payment plan and retention of service. Such arrangements shall be made before the arrival at the service location of the Cooperative's collection personnel or prior to service being disconnected by a remote switch.

- (T) The Cooperative shall negotiate and accept reasonable partial payment plans. The partial payment plan shall be mutually agreed upon and in accordance with the provisions set forth in the applicable Public Service Commission regulations. Partial payment plans that extend for a period of longer than 30 days shall be in writing or electronically recorded, stating the date and amount of payment due. In addition, written partial payment plans that extend longer than 30 days shall be dated and signed by both parties. The agreement will state, and the member will be advised, that should the member fail to honor the payment schedule mutually agreed upon, the member's service may be disconnected without prior additional notice.
- (T) The Cooperative is not required to negotiate a partial payment plan with a member who is delinquent under a previous partial payment plan.

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TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>Talina R. Mathews EXECUTIVE DIRECTOR</b> <i>Talina R. Mathews</i>
<b>EFFECTIVE 6/16/2017 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)</b>



FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 218

CANCELLING PSC KY NO. 8

Original SHEET NO. 7

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

18. CERTIFICATE OF NEED (T)

(T) Upon written certification from one of the Kentucky Department for Social Insurance offices, a member who is eligible for energy assistance under the Department's guidelines or is certified as being in genuine financial need, defined as any household with gross income at or below 130% of the poverty level, and who has been given a ten (10) day notice for nonpayment of his/her electric bill rendered between November 1 and March 31 and who presents such notice to the Department for Social Insurance, shall be allowed thirty (30) days in addition to such ten (10) day notice period in which to negotiate a partial payment plan with Shelby Energy provided such certification is delivered to Shelby Energy during the initial ten (10) day notice period by the applicant in person, by his/her agent, by mail, or by telephone call from an employee of the Department of Social Insurance. The thirty (30) day period shall begin to run at the end of the tenth day of the ten (10) day period.

(T) When the member exhibits good faith by making a current cash payment commensurate with his or her ability to do so and by agreeing to a repayment schedule which will result in the member becoming current in the payment of his/her electric bill in a timely manner but no later than October 15, Shelby Energy will accept such partial payment plan. Shelby Energy will also inform the member on the reverse side of the ten (10) day notice of the telephone number and address of the nearest office of the Kentucky Cabinet for Health and Family Services.

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TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 219

CANCELLING PSC KY NO. 8

Original SHEET NO. 8

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

19. LOCATION OF METERS

Meters shall be easily accessible for reading, testing, and making necessary adjustments and repairs and shall be located at a site designated by Shelby Energy.

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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)



FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 221

CANCELLING PSC KY NO. 8

Original SHEET NO. 8

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

21. MONITORING OF MEMBER USAGE (T)

(T) Member usage is monitored no less than annually. On a monthly basis, kWh usage for  
(T) member accounts will be monitored by the Cooperative according to the following  
(T) procedure:

(T) A. The member's current monthly kWh usage will be compared to previous periods.  
(T) Accounts which meet the following exception criteria will be listed for evaluation:

- (T) 1. Bill amount is greater than double the previous month
- (T) 2. kWh usage is equal to zero
- (T) 3. kWh usage is 50% less or 50% more than the same month of the prior year
- (T) 4. kWh usage is 30% less than the previous month
- (T) 5. Demand usage/reading is equal to zero
- (T) 6. Demand usage is 50% less or 50% more than the same month of the prior year
- (T) 7. Demand usage is 25% less or 25% more than the previous billing month
- (T) 8. Days of service exceeds 31
- (T) 9. Days of service are less than 25

(T) B. If the deviation in usage for any account listed on the exception report is attributed to  
(T) unique circumstances such as unusual weather conditions, which would affect all  
(T) members, no further review will be done.

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 221.1

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 9

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

21. MONITORING OF MEMBER USAGE - (continued)

(T) C. If the deviation cannot be readily attributed to a common cause, the Cooperative will  
(T) further investigate the account usage by comparing the last twelve (12) month's usage  
(T) to the same months of the previous year. A daily consumption report will be  
(T) processed for evaluation of the account and discussion with the member, as needed.

(N) D. If the cause for the usage deviation cannot be determined from analysis of the  
(N) member's meter reading and billing records, the Cooperative may dispatch service  
(N) personnel to verify the meter reading, check the service installation, or to make  
(N) personal contact with the member to inquire about the unexplained usage deviation.  
(N) The Cooperative will contact the member by telephone or in writing about the usage  
(N) deviation if the service personnel cannot determine a cause.

(N) E. Where the deviation is not otherwise explained, the Cooperative may test the  
(N) member's meter to determine whether it shows an average error greater than two  
(N) percent (2%) fast or slow. The Cooperative will notify the member of the  
(N) investigation and results and will refund or bill for any errors in accordance with 807  
(N) KAR 5:006, Section 11(2).

(N) In addition to the monthly monitoring, the Cooperative will investigate usage  
(N) deviations brought to its attention as a result of its on-going meter reading, billing  
(N) processes or member inquiry. The Cooperative may offer energy auditing services to  
(N) the member to assist in determining the source of the deviation.

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 222

CANCELLING PSC KY NO. 8

1st Revised SHEET NO. 9

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

22. RECONNECTION SERVICE FEE (T)

(T) When service has been disconnected for reasons other than non-payment of a delinquent bill and the Cooperative is requested to reconnect at the same location, a thirty-five dollar (\$35.00) reconnect service fee will be charged if reconnection is during regular working hours. Should the member request that service be reconnected outside regular working hours, a reconnect service fee of seventy-five dollars (\$75.00) will be charged. An exception regarding the reconnect service fee will be made for the member when a situation is beyond the member's control.

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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 223

CANCELLING PSC KY NO. 8

1st Revised SHEET NO. 9

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

23. COLLECTION OF DELINQUENT ACCOUNTS

- (T) Should it become necessary for the Cooperative to send a representative to the member's
- (T) premises for collection of a delinquent account, there will be a collection service fee of thirty
- (T) dollars (\$30.00) assessed if service is terminated or if the bill is paid in the course of the trip. The charge can only be made once in a billing period. The charge will be due and payable at the time such delinquent account is collected.

- (T) In the event a member is disconnected for non-payment of a delinquent account and requests reconnection during regular working hours, a thirty-five dollar (\$35.00) reconnect service fee
- (T) will be collected. Should the member request service be reconnected outside of regular
- (T) working hours, a reconnect service fee of seventy-five dollars (\$75.00) will be charged.

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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<u><i>Brent Kirtley</i></u>
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FOR All Territory Served

PSC KY NO. 9

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Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

24. MEMBER PAYMENT – UNHONORED (T)

(T) When the method of payment on a member’s account is unpaid by a financial institution  
(T) for any reason, including a revoked credit card payment, the payment will be considered  
(T) “unhonored”. The Cooperative will notify such member by letter, stating the amount of the  
(T) payment and the reason it was not honored for payment. The unhonored payment will then  
(T) be considered the same as a delinquent account, and if payment, in full, is not received within  
(T) ten (10) business days after notification, service may be discontinued. A twenty-five  
(T) (\$25.00) service charge shall be added to all unhonored payments.

(T) The Cooperative shall have the right to refuse to accept forms of payment that have been  
(T) unhonored previously in payment of an account from a member. The Cooperative will  
(T) provide the member a letter advising that the Cooperative will no longer accept such form of  
(T) unhonored payment and will require the account to be paid by cash, money order or cashier’s  
(T) check .

DATE OF ISSUE April 24, 2013  
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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 225

CANCELLING PSC KY NO. 8

1st Revised SHEET NO. 10

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

25. TAMPERING

If meters or other property belonging to the Cooperative are tampered or interfered with, the member being supplied through such equipment shall pay the amount which the Cooperative may estimate is due for service rendered, but not registered properly on the Cooperative's meter and for such replacement and repairs as are necessary, as well as for costs of inspection, investigation, service fees, and protective installations.

(N) The following steps will be taken to discourage tampering with Cooperative meters:

(N) 1. The first occurrence of tampering will result in written notification from the Cooperative informing the member of safety and legal issues and that legal action may be forthcoming for any future violations.

(N) 2. The second occurrence of tampering will result in a complaint filed with the proper authorities within the applicable service area and legal action will be taken by the Cooperative's Attorney.

(N) The member shall be responsible for any legal fees and other charges as awarded by a court of competent jurisdiction associated with any action taken in regards to tampering with the Cooperative's meters, equipment or other property.

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SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original                      SHEET NO. 226

CANCELLING PSC KY NO. 8

1st Revised                      SHEET NO. 10

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

26. NOTICE OF TROUBLE

- (T) The member shall give immediate notice either by telephone, e-mail or personal visit to an office of the Cooperative of any interruption or irregularities or unsatisfactory service and of
- (T) any defects known to the member.

- (T) The Cooperative may, as it deems necessary, suspend supply of electrical energy to any member or members for the purpose of safety, making repairs, changes or improvements upon any part of its system.

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SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO.                      DATED                     

<b>KENTUCKY</b> <b>PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN</b> EXECUTIVE DIRECTOR
TARIFF BRANCH
<i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 227

CANCELLING PSC KY NO. 8

1st Revised SHEET NO. 10

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

27. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE

(T) Any member desiring service discontinued or changed from one address to another shall give  
(T) the Cooperative three (3) days' notice, in person, writing, fax, e-mail or telephone, provided  
(T) such notice does not violate contractual obligations or tariff provisions. The member shall  
(T) not be responsible for charges for service beyond the three (3) day notice period if the  
(T) member provides reasonable access to the meter during the notice period. If the member  
(T) notifies the utility of their request for termination by fax, e-mail or telephone, the burden of  
(T) proof is on the member to prove that service termination was requested if a dispute arises.

(T) Pursuant to 807 KAR 5:006, Section 15, the Cooperative may refuse or discontinue to  
(T) serve an applicant or member under the following conditions:

(T) A. For noncompliance with its Rules and Regulations. However, the Cooperative  
(T) shall not discontinue or refuse service to any member or applicant for violation of its  
(T) Rules and Regulations without first having made reasonable effort to induce the  
(T) member or applicant to comply with the Rules and Regulations as filed with the  
(T) Public Service Commission. After such effort on the part of the Cooperative, service  
(T) may be disconnected or refused only after the member has been given at least ten  
(T) (10) days written notice of such intention and mailed to the member's last  
known address.

(T) B. For a dangerous condition. When a dangerous condition is found to exist on the  
(T) member's or applicant's premises, the service shall be discontinued or refused without  
(T) notice provided that the Cooperative notifies the member or applicant immediately of  
(T) the reasons for the discontinuance or refusal and the corrective action to be taken  
(T) by the member before service can be installed or restored.

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ISSUED BY *Debra J. Martin*  
SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 227.1

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

CANCELLING PSC KY NO. 8

Original SHEET NO. 11

RULES AND REGULATIONS

27. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE (continued)

- (T) C. For refusal of access. When a member or applicant refuses or neglects to provide reasonable access to the premises for the purposes of installation, operation, meter reading, maintenance, or removal of the Cooperative's property, employees or agents of the Cooperative may discontinue or refuse service only after the member or applicant is given at least ten (10) days written notice of such intention.
- (T) D. For outstanding indebtedness. The Cooperative may not furnish service to any applicant when such applicant is indebted to the Cooperative for service furnished until such time the applicant has paid such indebtedness or negotiated a satisfactory agreement.
- (T) E. For noncompliance with state, national, local or other codes. The Cooperative may refuse or terminate service to a member or applicant if the member or applicant does not comply with state, national, municipal, county or other codes, rules and regulations applying to such service. The Cooperative may terminate service pursuant to 807 KAR 5:006 after a ten (10) day notice is provided, unless ordered to terminate immediately by a governmental official.
- (T) F. For non-payment of bills. The Cooperative may terminate service at a point of delivery for nonpayment of charges, including extra charges, incurred for Cooperative service at that point of delivery; however, the Cooperative shall not terminate service to any member for nonpayment of bills for any tariffed charge without first having mailed or otherwise delivered an advance termination notice which complies with the requirements of 807 KAR 5:006.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 227.2

CANCELLING PSC KY NO. 8

1st Revised SHEET NO. 12

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

27. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE (continued)

- (T) The member shall be given at least a ten (10) day written notice, but the disconnect shall not be effective for twenty-seven (27) days after the mailing date of the original bill. Such termination notice shall be exclusive of and separate from the original bill.
- (T) If, prior to discontinuance of service, there is delivered to the Cooperative office or to its employees empowered to discontinue service, payment of the amount in arrears,
- (T) the discontinuance of service shall not be made, or in accordance with 807 KAR
- (T) 5:006, Section 15 (2)(c) when a written certificate is filed signed by a physician, a registered nurse, or a public health officer, stating that in the opinion of the person making the certification, discontinuance of service will aggravate an existing illness or infirmity on the affected premises, service shall not be discontinued until the affected resident can make other living arrangements or until thirty (30) days elapse from the time of the Cooperative's notification to the member in writing of the existence of local, state, and federal programs providing for the payment of the Cooperative bills under certain conditions and of the offices to contact for such possible assistance.
- (T) The written notice for any discontinuance of service shall advise the member of his/her rights to dispute the reasons for such discontinuance.
- (T) The termination notice requirements of this subsection shall not apply if termination
- (T) notice requirements to a particular member or members are otherwise dictated by the
- (T) terms of a special contract between the utility and member.

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 228

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 12

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

28. INSPECTIONS

Shelby Energy Cooperative, Inc. shall not initiate new permanent electric service until the required certificate of approval has been issued by a certified electrical inspector, as required by local or state laws.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 229

CANCELLING PSC KY NO. 8

Original SHEET NO. 13

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

29. TEMPORARY SERVICE

- (T) A member requesting temporary service may be required to pay all costs of construction,
- (T) removing, connecting, and disconnecting service in addition to paying any applicable
- (T) security deposit. Construction contractors, camps, campsites, barns, barn sites, sawmills, oil
- (T) wells, carnivals, fairs, camp meetings or any other service of a strictly temporary nature will
- (T) be metered and actual usage billed according to the applicable tariff.

Temporary service used for such construction may only be utilized for a period not to exceed twelve (12) months after which time the service will be disconnected unless a written extension of time is obtained from the Cooperative.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 230

CANCELLING PSC KY NO. 8

Original SHEET NO. 13

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

30. MEMBER'S LIABILITY (T)

- (T) The member shall assume full responsibility for service upon his/her premises at and from
- (T) the point of delivery, and for wires, any apparatus, devices, and appurtenances used in
- (T) connection with service. The member shall indemnify, hold harmless and defend the Cooperative against all claims, demands, cost or expense for loss, damage, or injury to persons or property in any manner directly or indirectly arising from, connected with, or
- (T) growing out of the transmission or use of electricity by the member at or on the member's side of point of delivery.

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PSC KY NO. 9

Original SHEET NO. 231

CANCELLING PSC KY NO. 8

Original SHEET NO. 13

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

31. PROTECTION OF THE COOPERATIVE EQUIPMENT

- (T) The member shall protect the equipment of the Cooperative on his/her premises and shall not
- (T) interfere with or alter or permit interference with the Cooperative's meter or other property except by duly authorized representatives of the Cooperative.

- (T) For any loss or damage to the property of the Cooperative due to or caused by or arising from carelessness, neglect, vandalism, or misuses by the member or other unauthorized persons,
- (T) the cost of the necessary replacement and repairs shall be paid for by the member.

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PSC KY NO. 9

Original SHEET NO. 232

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Original SHEET NO. 14

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

32. POINT OF DELIVERY

1.

- A. Approval shall be obtained from the Cooperative as to the proper location for a service entrance.
- B. Service entrances will be located on the exterior of the building nearest to the Cooperative's lines. For members desiring service entrances on any building at a location other than that closest to the Cooperative's lines, the additional estimated cost of such special construction as may be found necessary shall be borne by the member, and such cost shall be paid in advance before service can be connected.
- C. Service entrances, both overhead and underground, shall be installed in accordance with applicable codes, and the Cooperative shall not connect until the required certificate of compliance has been issued by the required certified electrical inspector. In event of an emergency, a temporary waiver may be issued by the Cooperative to allow connection pending completion of electrical inspection.

- 2. Point of service (or delivery) shall be that point where the facilities of the Cooperative join the member's facilities, irrespective of the location of the meter, and will normally be at the weatherhead on overhead lines and at the meter base on underground service. All wiring and equipment beyond this point of service shall be supplied and maintained by the member. The member will, however, notify the Cooperative of any proposed changes in the equipment or wiring which will materially increase or decrease the load so the Cooperative may check its equipment to make certain it will accommodate the member's load requirements.

(T)  
(T)

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PSC KY NO. 9

Original SHEET NO. 233

CANCELLING PSC KY NO. 8

Original SHEET NO. 15

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

33. RESALE OF POWER BY MEMBERS

- All purchased electric service used on the premises of the member shall be supplied exclusively by the Cooperative, and the member shall not directly or indirectly sell, sublet,
- (T) give or otherwise dispose of the electric service or any part thereof, except by written
  - (T) contract approved by the Board of Directors of the Cooperative.

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PSC KY NO. 9

Original SHEET NO. 234

CANCELLING PSC KY NO. 8

Original SHEET NO. 15

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

34. METER BASES

- (T) Meter bases shall be provided by the member or property owner establishing new service or
- (T) performing a service upgrade. All maintenance and repairs of the meter base will be the
- (T) responsibility of the member or property owner.

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PSC KY NO. 9

Original SHEET NO. 235

CANCELLING PSC KY NO. 8

Original SHEET NO. 15

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

35. METER READING

- (T) The Cooperative will be responsible for meter reading services through the use of solid state
- (T) meters and an advanced metering infrastructure (AMI) system to accurately and efficiently
- (T) read meters. The Cooperative shall read each member's meter on a monthly basis for the
- (T) purpose of determining each account's usage of electricity for the calculation of the monthly
- (T) bill.

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Original SHEET NO. 15

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

36. SEPARATE METER FOR EACH SERVICE

- (T) The Cooperative will normally furnish a single meter at the point of connection to the member's premises. Any member desiring service at two or more separately metered locations of connection to the system shall be billed separately at each point and the
- (T) registration of such meters shall not be added for billing purposes, except by written contract
- (T) approved by the Cooperative. Only one residence may be served for each meter location.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 237

CANCELLING PSC KY NO. 8

Original SHEET NO. 15

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

37. FRAUDULENT USE

- (T) Pursuant to 807 KAR 5:006, Section 15 (1)(g), when the Cooperative has discovered
- (T) evidence that by fraudulent or illegal means, a member has obtained unauthorized service or
- (T) has diverted the service for unauthorized use or has obtained same without being properly
- (T) measured, the service to the member may be disconnected immediately and without notice.
  
- (T) Within twenty-four (24) hours after such termination, the utility shall send written
- (T) notification to the member of the reasons for termination or refusal of service and of the
- (T) member's right to challenge the termination by filing a complaint with the Public Service
- (T) Commission. The Cooperative shall not be required to restore service until the Cooperative
- (T) has been reimbursed for the estimated amount of service rendered and the cost incurred by
- (T) fraudulent use.
  
- (T) It shall be the duty of the Cooperative, before making service connections to a new member,
- (T) to determine the condition of the meter in order that prior fraudulent use of the facilities, if
- (T) any, will not be attributed to the new member, and the new member shall be afforded the
- (T) opportunity to be present at such inspection. The Cooperative shall not be required to render
- (T) service to such member until all defects in the member-owned portion of the service, if any,
- (T) have been corrected.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 238

CANCELLING PSC KY NO. 8

Original SHEET NO. 16

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

38. METER POLE

(T) The Cooperative may, upon request, serve a meter pole to be wired by the member. The electrical load should be sufficient to justify at least a 100 ampere/3-wire/240 volt service. A means of disconnect satisfying National Electric Code requirements shall be installed on the load side of the meter base.

(T) The meter pole and all equipment on said pole, exclusive of the meter shall be installed and owned by the member. The pole location shall be determined by the Cooperative and the service must comply with the applicable codes, Cooperative specifications and be inspected as required by state and local laws.

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PSC KY NO. 9

Original SHEET NO. 239

CANCELLING PSC KY NO. 8

Original SHEET NO. 16

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

39. RELOCATION OF LINES

When the Cooperative is requested or required to relocate its facilities for any reason, any expense involved will be paid by the firm, person, or persons requesting the relocation, unless one or more of the following conditions are met:

- A. The relocation is made for the convenience of the Cooperative.
- B. The relocation will result in a substantial improvement in the Cooperative's facilities.
- (T) C. The relocation is associated with another regularly scheduled conversion or construction work, can be done at the same time and the relocation will not be more costly than remaining at the planned location.
- (T)
- (T)

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FOR All Territory Served

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CANCELLING PSC KY NO. 8

Original SHEET NO. 17

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

40. SINGLE-PHASE LOADS (T)

(T) An extension, via the least expensive route, to a permanent residence of 1,000 feet or less  
(T) from the nearest existing Cooperative facilities shall be made by Shelby Energy to its  
(T) existing distribution line without charge for a prospective member who shall apply for and  
(T) agree to use the service for one (1) year or more and provides guarantee for such service. The  
"service drop" to the structure from the distribution line at the last pole shall not be included  
(T) in the foregoing measurements. This distribution line extension shall be limited to service  
(T) where installed transformer capacity does not exceed twenty-five (25) KVA. Any extensions  
(T) to a member who may require multi-phase service or whose installed transformer capacity  
(T) will exceed twenty-five (25) KVA will be required to pay, in advance, additional cost of  
(T) construction which exceeds that for a single-phase line where the installed transformer  
(T) capacity does not exceed twenty-five (25) KVA.

1. When an extension of Shelby Energy's line to service a member or a group of  
(T) members amounts to more than 1,000 feet per member or the extension is made at the  
(T) member's request, via a more expensive route, the total cost of the excessive footage  
(T) over 1,000 feet per member or the increased expense of the route shall be paid by the  
applicant or applicants based on the estimated cost of the total extension.

2. Each member receiving service under such extension will be reimbursed under the  
following plan:

Each year for a period of ten (10) years, which for the purpose of this rule shall be the  
refund period, the Cooperative shall refund to the member or members who paid for  
the excess footage the cost of 1,000 feet of the extension in place for each additional  
member connected during the year whose service line is directly connected to the  
extension installed and not to extensions or laterals therefrom, but in no case shall

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PSC KY NO. 9

Original SHEET NO. 240.1

CANCELLING PSC KY NO. 8

Original SHEET NO. 17

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

40. SINGLE-PHASE LOADS (continued)

the total amount refunded exceed the amount paid the Cooperative. After the end of the refund period, no refund will be required to be made. For additional members connected to an extension or lateral from the distribution line, the Cooperative shall refund to any member who paid for excessive footage the cost of 1,000 feet of line less the length of the lateral or extension.

- 3. An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year for a period of ten (10) years, which for the purpose of this rule shall be the refund period, the Cooperative shall refund to the applicant who paid for the extension, a sum equivalent to the cost of 1,000 feet of the extension installed for each additional member connected during the year; but in no case shall the total amount refunded exceed the amount paid to the Cooperative. After the end of the refund period from the completion of the extension, no refund will be required to be made.
- 4. To reduce the member's cost of connection, the member may elect to clear the right-of-way on the member-owned property. The reduced cost to the member will be mutually agreed upon in writing and based on the Cooperative's written specifications and estimated cost for right-of-way clearing prior to any work being performed by the member or by the Cooperative.

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MONTH / DATE / YEAR

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ISSUED BY *Debra J. Martin*  
SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 241

CANCELLING PSC KY NO. 8

Original SHEET NO. 17

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

41. MULTI-PHASE LOADS (N)

- (N) Multi-phase service will be extended to members based on the following criteria:
  - (N) a. The multi-phase load will be a minimum of a thirty (30) kW connected load,
  - (N) b. The load will be a permanent installation and provide steady monthly revenue for the Cooperative.
- (N) Consideration will be given for any portion of the facilities that are installed that will benefit the Cooperative's distribution system. An example of this type of benefit is a multi-phase line to a load center that would require multi-phase service in the near future.
- (N) If the multi-phase extension is to serve a new multi-phase commercial/industrial load, the charges of extending the multi-phase service may be negotiable based on projected revenues and cost of facilities.
- (N) The first 300 feet of the extension and a twenty-five (25) KVA transformer will be installed as overhead service, free of charge. If the extension exceeds 300 feet or the transformer capacity required for the service is greater than twenty-five (25) KVA or underground service is desired, a charge for the extension shall be required prior to construction. The charge may be negotiable based on projected revenues and cost of facilities.
- (N) Temporary multi-phase service will be provided if the member pays the following charges:
  - (N) a. The entire cost of installation
  - (N) b. The entire cost of removal minus the salvage of materials
  - (N) c. The total charge must be paid prior to the temporary service being installed.
- (N) For additional members connected to an extension or lateral from the distribution line, the utility shall refund to any customer who paid for excessive footage the cost of 300 feet of line less the length of the lateral extension. The total amount refunded shall not exceed the original charge for construction.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 242

CANCELLING PSC KY NO. 8

2<sup>nd</sup> Revised SHEET NO. 18

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

42. LINE EXTENSION TO MOBILE HOMES

- A. All extensions up to 300 feet from the nearest facility shall be made without charge.
- (T) B. All required fee charges and advances shall be paid before construction begins, and the mobile home must be set in place before service can be extended.
- (T) C. The member shall install and own the meter pole and it shall meet the requirements of the applicable codes and shall be located at a site designated by the Cooperative.
- (T) For extensions greater than 300 feet and less than 1,000 feet from the nearest facility,
- (T) the Cooperative will charge a Member Advance For Construction (MAFC) based on
- (T) the cost of construction for the portion of service beyond 300 feet, up to 1,000 feet.
- (T) 1. The MAFC shall be refunded to the member over a four (4) year period in equal amounts for each year the service is continued, and the start of the period refund begins with the initial billing date.
- (T) 2. If the service is disconnected for a period of sixty (60) days or should the mobile home be removed and another not take its place or be replaced by a permanent structure, the remainder of the MAFC shall be forfeited.
- (T) 3. No refunds shall be made to any member who did not make the MAFC originally.
- (T) 4. To reduce the member's cost of connection, the member may elect to clear the right-of way on the member-owned property. The reduced cost to the member will be mutually agreed upon in writing and based on the Cooperative's written specifications and estimated cost for right-of-way clearing prior to any work being performed by the member or by the Cooperative.
- (T)
- (T)

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TARIFF BRANCH
<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 242.1

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 19

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

42. LINE EXTENSION TO MOBILE HOMES (continued)

- (T) D. For extensions greater than 1,000 feet the provisions, as stated in Part C, apply to the first 1,000 feet. For that portion of the line over 1,000 feet, the utility will charge the member the cost of construction for that portion of service beyond 1,000 feet. The deposit for that portion over 1,000 feet is subject to refund as follows:
  - (T) 1. Each year for a period of ten (10) years, which shall be the refund period, for that portion over 1,000 feet the provisions of Section 40 will apply.
- (T) E. Mobile home meter poles shall be wired and inspected according to the applicable codes and shall be wired and inspected at the expense of the member.
- (T) F. Any member who puts up a mobile home line extension MAFC and replaces the mobile home with a permanent residence which is connected directly to the line for which the MAFC was made, shall be refunded the MAFC upon terms and conditions of the normal service extension policy for permanent residence in effect at the time of replacement.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 243

CANCELLING PSC KY NO. 8

Original SHEET NO. 21

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

43. ELECTRIC SERVICE TO CAMPS, BARNES AND PUMPS, ETC.

- A. Construction to a permanent camp, campsite, barn or barnsite, or other services with low usages, whereby low usage is any usage pattern that is substantially less than that of an average permanent single family residence is as follows:
- B. All required fee charges and advances shall be paid before construction begins.
- C. All extensions up to 300 feet from the nearest facility shall be made without charge.
- D. For extensions greater than 300 feet and less than 1,000 feet from the nearest facility,
  - (T) the Cooperative will charge a Member Advance for Construction (MAFC) based on the cost of construction for the portion of service beyond 300 feet, up to 1,000 feet.
  - (T) The MAFC for that portion greater than 300 feet and less than 1,000 feet is subject to refund as follows:
    - (T) 1. The MAFC shall be refunded to the member over a four (4) year period
    - (T) in equal amounts for each year the service is continued, and the start of the
    - (T) period refund begins with the initial billing date.
    - 2. If the service is disconnected for a period of sixty (60) days or should the
    - (T) service be removed and another not take its place or be replaced by a
    - (T) permanent structure, the remainder of the MAFC shall be forfeited.
    - 3. No refunds shall be made to any member who did not make the MAFC
    - (T) originally.

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<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 243.1

CANCELLING PSC KY NO. 8

Original SHEET NO. 21

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

43. ELECTRIC SERVICE TO CAMPS, BARNES AND PUMPS, ETC. (continued)

(T) 4. To reduce the member's cost of connection, the member may elect to clear the  
(T) right-of way on the member-owned property. The reduced cost to the member  
(T) will be mutually agreed upon in writing and based on the Cooperative's  
(T) written specifications and estimated cost for right-of-way clearing prior to any  
(T) work being performed by the member or by the Cooperative.

(T) E. For extensions greater than 1,000 feet the provisions, as stated in Part D, apply to  
(T) the first 1,000 feet. For that portion of the line over 1,000 feet, the utility will  
(T) charge the member the cost of construction for that portion of service beyond 1,000  
(T) feet. The MAFC for that portion over 1,000 feet is subject to refund as follows:

(T) 1. Each year for a period of ten (10) years, which shall be the refund period, for  
(T) that portion over 1,000 feet the provisions of Section 40 will apply.

(T) F. Any member who puts up a service line extension MAFC and replaces the facility  
(T) served with a permanent residence which is connected directly to the line for which  
(T) the MAFC was made, shall be refunded the MAFC upon terms and conditions of the  
(T) normal service extension policy for permanent residence in effect at the time of  
(T) replacement.

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TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 244

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Original SHEET NO. 21

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE

The purpose of this policy is to formulate requirements for underground electrical service for individuals and subdivisions, the application of which will insure adequate service and safety to all persons engaged in the construction, maintenance, operation, and use of underground facilities and to the public in general.

A. Applicability - This policy shall only apply to underground electrical supply facilities used in connection with electric distribution within the definitions set out herein.

B. Definitions - The following words and terms, when used in this policy, shall have the meaning indicated:

Applicant - The developer, builder, or other person, partnership, association, corporation, or governmental agency applying for the installation of an underground electric distribution system.

Building - A structure enclosed within exterior walls or fire walls built, erected, or framed of component structural parts and designed for less than five (5) family occupancy.

Multiple-Occupancy Building - A structure enclosed within exterior walls or fire walls, built, erected, and framed of component structural parts and designed to contain five (5) or more individual dwelling units.

Distribution System - Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishing of electric power at utilization voltage.

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TITLE President and CEO

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
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TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

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CANCELLING PSC KY NO. 8

Original SHEET NO. 22

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
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RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE (continued)

Subdivision - The tract of land which is divided into ten (10) or more lots for the construction of new residential buildings, or the land on which is constructed two (2) or more new multiple occupancy buildings.

Commission - The Public Service Commission

Trenching and Backfilling - Opening and preparing the ditch for the installation of conduits, raceways, and conductors, providing a sand bedding when required, and the compacting and backfilling of trench to ground level.

C. Right-of-Way and Easements

1. The Cooperative shall construct or cause to be constructed, own, operate, and maintain distribution lines only along easements, public streets, roads, and highways which are by legal right accessible to the Cooperative's equipment and which the Cooperative has the legal right to occupy, and the public lands and private property across which rights-of-way and easements satisfactory to the Cooperative are provided without cost or condemnation by the Cooperative.

2. Rights-of-way and easements suitable to the Cooperative for the underground distribution facilities must be furnished by the applicant in reasonable time to meet service requirements. The applicant shall make the area in which the underground distribution facilities are to be located accessible to the Cooperative's equipment, remove all obstructions from such area, stake to show property lines and final grade, perform rough grading to a reasonable approximation of final grade, and maintain clearing and grading during construction by the Cooperative. Suitable easement rights shall be granted to

(T)

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TITLE President and CEO

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TARIFF BRANCH <i>Brent Kirtley</i>
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PSC KY NO. 9

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CANCELLING PSC KY NO. 8

Original SHEET NO. 23

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE (continued)

(T) the Cooperative, obligating the applicant and subsequent property owners to provide continuing access to the Cooperative for operation, maintenance, or replacement of its facilities, and to prevent any encroachment in the Cooperative's easement including changes in grade or elevation thereof.

D. Installation of Underground Distribution System Within New Subdivision

1. Where appropriate contractual arrangements have been made, the Cooperative shall install within the subdivision an underground electric distribution system of sufficient capacity and suitable materials which, in its judgment will assure that the property owners will receive safe and adequate electric service for the foreseeable future.

2. All single-phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment, and meter cabinets may be placed above ground.

(T) 3. Three-phase primary conductor required within a subdivision to supply local distribution or to serve individual loads may be overhead unless underground is required by governmental authority or chosen by the applicant, in either of which case the differential cost of underground shall be paid by the applicant.  
(T) The differential cost shall be paid in full by the member prior to work being  
(T) performed by the Cooperative.

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 244.3

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

CANCELLING PSC KY NO. 8

Original SHEET NO. 24

RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE (continued)

4. If the Applicant has complied with the requirements herein and has given the Cooperative not less than 120 days written notice prior to the anticipated date of completion (i.e., ready for occupancy) of the first building in the subdivision, the Cooperative shall complete the installation thirty (30) days prior to the estimated completion date. (Subject to weather and ground conditions and availability of materials and barring extraordinary or emergency circumstances beyond the reasonable control of the Cooperative. However, nothing in this policy shall be interpreted to require the Cooperative to extend to service portions of the subdivisions not under active development.
5. A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the applicant shall be determined from the total footage of single-phase primary, secondary and service conductor to be installed at an average per foot cost differential in accordance with the average cost differential filed with the Public Service Commission. Where rock, shale, or other impairments are anticipated or encountered in construction, the actual increased cost of trenching and backfilling shall be borne by the Applicant.
6. The Applicant may be required to contribute the entire estimated cost of the extension. If this is done, the amount contributed in excess of the normal charge for the underground extensions, as provided in Paragraph 5 above shall be refunded to the Applicant over a ten (10) year period as provided by the Public Service Commission.

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PSC KY NO. 9

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Original SHEET NO. 25

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE (continued)

- 7. The Cooperative normally will perform or cause to be performed all necessary trenching and backfilling. The Applicant may elect to perform all necessary trenching and backfilling in accordance with the Cooperative's specification. The Cooperative shall then credit the Applicant's cost in an amount equal to the Cooperative's normal cost for trenching and backfilling. However, the Cooperative personnel must be present at the time of backfilling if the Applicant elects to trench and backfill.
- (T) 8. The Cooperative shall furnish, install, and maintain the service lateral to the installation, and backfilling relative to the installation of the service lateral.
- (T) This work performed by the applicant must be inspected and approved by
- (T) Cooperative personnel or agents before backfilling is completed
- 9. Plans for the location of all facilities to be installed shall be approved by the Cooperative and Applicant prior to construction. Alterations in plans by the Applicant which require additional cost of installation or construction shall be at the sole expense of the Applicant.
- 10. The Cooperative shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.
- 11. The charges specified in these rules are based on the premise that each Applicant will cooperate with the Cooperative in an effort to keep the cost of construction and installation of the underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.

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Original SHEET NO. 25

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

44. UNDERGROUND ELECTRIC SERVICE (continued)

- 12. All electrical facilities shall be installed and constructed to comply with the Rules and Regulations of the Public Service Commission, all applicable codes, and Shelby Energy specifications.
- (T) 13. For all other developments that do not meet the conditions set forth in these rules, underground distribution will be installed provided a Member Advance for Construction to the Cooperative is made in an amount equal to the difference between the Cooperative's estimated cost of underground facilities and overhead facilities, which it would otherwise provide.

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PSC KY NO. 9

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1<sup>st</sup> Revised SHEET NO. 26

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

45. METER TESTING

- (T) Each and every watt-hour meter installed by the Cooperative on member's premises shall be
- (T) tested periodically without charge to the member. Any other request for a meter test shall be
- (T) complied with by the Cooperative and the member may be required to pay a \$32.50 meter
- (T) test deposit. If the meter tests more than two-percent (2%) fast, the \$32.50 deposit shall be
- (T) returned to the member and a credit, based on Public Service Commission Rules and
- (T) Regulations, shall be issued by the Cooperative to the member. If the meter is more than
- (T) two-percent (2%) slow, the member shall be billed for the difference, based on Public
- Service Commission Rules and Regulations. If the meter tests within the Commission's
- limits, the \$32.50 may be retained to offset the cost of the meter test. Such tests may not be
- made more frequently than once each twelve (12) months.

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Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

46. TAXES

Pursuant to the authority vested in KRS 139.210, there shall be added to the bill of all applicable subscribers, the sales and use tax imposed by KRS 139.200. The Utility Gross Receipts License Tax for schools authorized by KRS 160.613 shall be added to all applicable subscribers' bills in accordance with KRS 160.617, which authorizes a rate increase for the school tax.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
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PSC KY NO. 9

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Original SHEET NO. 27

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

47. VOLTAGE FLUCTUATIONS CAUSED BY MEMBER (T)

- (T) Electric service must not be used by the member in such a manner as to cause unusual
- (T) fluctuations or disturbances to the Distribution System which may reasonably be expected to:
  - (T) a. cause damage to or interfere with the Cooperative's systems, facilities or other
  - (T) property in proximity to the Cooperative's systems; or
  - (T) b. prevent the Cooperative from serving other members satisfactorily.
- (T) The Cooperative shall notify the member in writing of any such electric disturbance and,
- (T) before attempting to take any other action, the Cooperative shall allow the member a
- (T) reasonable time and opportunity under the circumstances involved to correct or suppress the
- (T) disturbances. The Cooperative may require the member, at the member's own expense, to
- install suitable apparatus which will reasonably limit such fluctuation.
- (T) If the member does not correct or suppress the disturbances, then the Cooperative may
- (T) suspend or discontinue the electric service.

DATE OF ISSUE April 24, 2013  
MONTH / DATE / YEAR

DATE EFFECTIVE October 1, 2013  
MONTH / DATE / YEAR

ISSUED BY *Debra J. Martin*  
SIGNATURE OF OFFICER

TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE  
COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 248

CANCELLING PSC KY NO. 8

Original SHEET NO. 27

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

48. CONFLICT

(T) In case of conflict between any provisions of any rate schedule/tariff and the schedule of Rules and Regulations, the rate schedule/tariff shall apply.

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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 249

CANCELLING PSC KY NO. 8

Original SHEET NO. 27

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

49. FILING AND POSTING

(T) A copy of these Rules and Regulations, together with a copy of the Cooperative's schedule of rates and charges, shall be kept open to inspection at the office(s) of the Cooperative.

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 250

CANCELLING PSC KY NO. 8

Original SHEET NO. 27

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

50. CLASSIFICATION OF MEMBERS (T)

- (T) Classification of members for accounting purposes is in accordance with the prescribed Rural
- (T) Utilities System (RUS) Uniform System of Accounts.

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<b>KENTUCKY</b> <b>PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN</b> EXECUTIVE DIRECTOR
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 251

CANCELLING PSC KY NO. 8

Original SHEET NO. 27

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

51. STANDARD NOMINAL VOLTAGES

(T) The standard nominal voltages for single and multi-phase services throughout the distribution system are as specified below. Availability of a service voltage(s) is contingent upon existing service voltages(s) and other system parameters.

(T) <u>Single-Phase (volts)</u>	<u>Multi-Phase (volts)</u>
120/240	120/208 Y
240/480	240 delta
7,200	240 delta, 120/240 lighting
14,400	277/480 Y
	480 delta
	7,200/12,470 Y
	14,400/24,940 Y

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**KENTUCKY**  
**PUBLIC SERVICE COMMISSION**

---

**JEFF R. DEROUEN**  
EXECUTIVE DIRECTOR

---

TARIFF BRANCH

*Brent Kirtley*

---

EFFECTIVE  
**10/1/2013**  
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PSC KY NO. 9

Original SHEET NO. 252

CANCELLING PSC KY NO. 8

3<sup>rd</sup> Revised SHEET NO. 28

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

52. BILL FORMAT – REGULAR & E-BILL (T)

Shelby Energy Cooperative, Inc.  
Your Touchstone Energy® Partner

P.O. Box 309  
Shelbyville, KY 40066-0309

(502) 639-4420  
(502) 292-6585  
Website: [www.shelbyenergy.com](http://www.shelbyenergy.com)

Office Hours:  
7:30 a.m. - 4:30 p.m. EST  
Monday - Friday

ACCOUNT NUMBER		ACCOUNT NAME			SERVICE ADDRESS			METER NUMBER		BILL DATE	
SERVICE PERIOD		NO. DAYS	METER READING			METER MULTIPLIER	BILLED DEMAND	KLOWATT HOURS	CHARGES		
FROM	TO		PREVIOUS	PRESENT	ESTIMATED						
SERVICE LOCATION		DAY'S SERVICE	TOTAL KWH	TELEPHONE		AVG. KW/DAY	CYCLE	TOTAL DUE NOW			
CURRENT BILLING PERIOD								DUE DATE	09/10/12	BILL IS DELINQUENT AFTER DUE DATE	
PREVIOUS BILLING PERIOD								RATE		AFTER DUE DATE PAY	
SAME PERIOD LAST YEAR											

Your Electricity Use Over The Last 12 Months

Find out how the little changes you make can add up!  
Visit our website at [www.shelbyenergy.com](http://www.shelbyenergy.com) for home energy saving tips.

**IMPORTANT INFORMATION FROM YOUR ELECTRIC COOPERATIVE**  
Payments are accepted at our offices in Shelbyville & Bedford, KY.  
Payments are accepted at Henry Co Supply In New Castle, KY.  
Outside depository is available after hours for your convenience.  
Please allow ample time for delivery before the due date when mailing your payment.  
Failure to receive bill does not void penalties for late payment and service disconnection.

To Report a Power Outage, Call 1-800-292-6585

Ask us about customized billing:

- \* Automatic Bank Draft Payment
- \* Levelized/Fixed Budget Billing
- \* Outdoor Lighting
- \* Online Billing and Payment Options

KEEP THIS STATEMENT FOR YOUR RECORDS  
PLEASE DETACH AND RETURN THIS PORTION WITH PAYMENT

SHelBY ENERGY COOPERATIVE, INC.  
Your Touchstone Energy® Partner  
P.O. Box 309  
Shelbyville, KY 40066-0309  
ADDRESS SERVICE REQUESTED

Voluntary donations to WinterCare will help less fortunate families and others in our area stay warm.

ACCOUNT NUMBER	CYCLE	BILL DATE
LOCATION	CLASS	
DUE DATE	AMOUNT DUE	
AMOUNT AFTER DUE DATE		
WINTERCARE DONATION	\$	
TOTAL AMOUNT ENCLOSED	\$	

Request Copy of Rate Schedule

SHelBY ENERGY COOPERATIVE  
P O BOX 309  
SHelBYVILLE, KY 40066-0309

DATE OF ISSUE April 24, 2013  
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SIGNATURE OF OFFICER

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COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

**KENTUCKY  
PUBLIC SERVICE COMMISSION**

**JEFF R. DEROUEN  
EXECUTIVE DIRECTOR**

TARIFF BRANCH

*Brent Kirtley*

EFFECTIVE  
**10/1/2013**  
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 253

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 29

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM - Re: PSC Admin. Case No. 353

Purpose - To provide a plan for reducing the consumption of electric energy on Shelby Energy Cooperative, Inc.'s system in the event of a severe electric energy shortage.

For the purpose of this program, the following priority levels have been established:

- I. Essential Health and Safety Uses -- as defined in Appendix A
- II. Residential Use
- III. Commercial and Industrial Uses
- IV. Nonessential Uses -- as defined in Appendix B
- V. Interruptible Loads
- VI. Direct Load Control

Procedures - The Wholesale Power Cooperative, East Kentucky Power Cooperative, Inc., ("EKPC"), will notify Shelby Energy in the event of a severe electric energy shortage, and the following steps will be implemented. These steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction.

EKPC and Shelby Energy will take the following actions listed in priority order:

- 1. EKPC will initiate Direct Load Control and notify Shelby Energy.
- 2. EKPC will interrupt Interruptible Loads and notify Shelby Energy.
- 3. Shelby Energy will initiate its Load Reduction Procedure, Appendix C.

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TITLE President and CEO

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

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CANCELLING PSC KY NO. 8

Original SHEET NO. 30

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

- 4. EKPC will notify Shelby Energy to initiate its Voltage Reduction Procedure, Appendix D.
- 5. EKPC will notify Shelby Energy and EKPC and Shelby Energy will initiate media appeal for general Voluntary Load Reduction Procedure, Appendix E.
- 6. EKPC will, in coordination with other Kentucky electric utilities, request the Governor to declare a statewide Energy Emergency.
- 7. EKPC will request Shelby Energy to initiate mandatory load reduction of up to twenty percent (20%) in five percent (5%) steps, Appendix F.

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COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)



FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 253.2

CANCELLING PSC KY NO. 8

Original SHEET NO. 31

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "A"**  
**ESSENTIAL HEALTH AND SAFETY USES**

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of persons. These uses shall include essential street, highway and signal-lighting services.
- (d) "Fire Stations", which shall be limited to facilities housing mobile fire-fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for the supply of water to a community, flood pumping and sewage disposal.

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<i>Brent Kirtley</i>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 253.3

CANCELLING PSC KY NO. 8

Original SHEET NO. 32

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
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RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM – Appendix “A” (continued)

- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.
- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution -- for fuel -- of natural or manufactured gas, coal, oil or gasoline.
- (i) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the customer's load.

(T) Although these types of uses will be given special consideration when implementing the manual load-shedding provisions of this procedure, these members are encouraged to install  
 (T) emergency generation equipment if continuity of service is essential. In case of members  
 (T) supplied from two utility sources, only one (1) source will be given special consideration.  
 (T) Also, any other members who, in their opinion, have critical equipment should install emergency generation equipment. Shelby Energy's specific emergency list is on file in its office.

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TITLE President and CEO

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COMMISSION IN CASE NO. \_\_\_\_\_ DATED \_\_\_\_\_

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH
<i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b>
PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

FOR All Territory Served

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Original SHEET NO. 253.4

CANCELLING PSC KY NO. 8

Original SHEET NO. 33

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "B"**

**NONESSENTIAL USES**

- (T) The following and similar uses of electric energy and others which the Commission may
- (T) subsequently identify shall be considered nonessential for all members:
  - (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
  - (b) General interior lighting levels greater than minimum functional levels.
  - (c) Show-window and display lighting.
  - (d) Parking-lot lighting above minimum functional levels.
  - (e) Energy use greater than that necessary to maintain a temperature of not less than 76 degrees during operation of cooling equipment and not more than 68 degrees during operation of heating equipment.
  - (f) Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
  - (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

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FOR All Territory Served

PSC KY NO. 9

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Original SHEET NO. 34

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "C"**

**LOAD REDUCTION PROCEDURE**

**Objective:**

To reduce demand at Shelby Energy's facilities over the time period during which an electric energy shortage is anticipated.

**Criteria:**

- (T) This procedure is implemented when a **Load Reduction Alert** is issued. The President and
- (T) CEO has the responsibility of issuing a Load Reduction Alert.

**Procedure:**

- (T) 1. The President and CEO receives notice from EKPC of capacity shortage.
- (T) 2. The President and CEO is responsible for the participation of Shelby Energy employees in achieving the largest load reduction possible while still maintaining the service of the facility and not unduly jeopardizing safety.
- (T) 3. Each Department Vice President, Manager or other Key Personnel is responsible for the participation of Shelby Energy employees in achieving the largest load reduction possible while still maintaining the service of the facility and not unduly jeopardizing safety.
- (T) 4. Examples of load reduction are:
  - turning off all but a minimum of indoor and outdoor lighting
  - turning off microcomputers, printers, copiers, and other office equipment except as they are used
  - in the winter, setting thermostats no higher than 68 degrees, and in the summer no lower than 76 degrees

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Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "D"**

**VOLTAGE REDUCTION PROCEDURE**

**Objective:**

To reduce demand on Shelby Energy's system over the period during which an electric energy shortage is anticipated by reducing the set point on system voltage regulators.

**Criteria:**

This procedure is implemented when requested by EKPC System Operator.

**Procedure:**

Shelby Energy will immediately dispatch personnel to reduce set points on regulators as much as possible while continuing to maintain minimum voltage requirements as prescribed by the Kentucky Public Service Commission. Shelby Energy's specific plan is on file in its office.

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TARIFF BRANCH  <i>Brent Kirtley</i>
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FOR All Territory Served

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CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised SHEET NO. 36

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "E"**

**VOLUNTARY LOAD REDUCTION PROCEDURE**

**Objective:**

(T) To reduce demand on Shelby Energy's system over the period during which an electric energy shortage is anticipated through media appeal for members to curtail energy use.

**Criteria:**

This procedure is implemented when requested by EKPC Marketing and Communications Division personnel.

**Procedure:**

(T) Notify local radio stations in the service territories of Shelby Energy and surrounding areas of the electrical shortage and ask them to make the public service announcement recommended by EKPC personnel. An example announcement is as follows:

(T) **"Attention Shelby Energy Cooperative Members:**

**Shelby Energy is experiencing a critical shortage of electricity to its members, and is requesting that all non-essential electrical appliances and lighting be turned off, and thermostats be lowered/raised immediately until (time of emergency).**

**Shelby Energy is encountering record high usage of electricity during this period of extreme low/high temperatures, and to help us keep from having a power blackout in your area, we need your help NOW until (time of emergency).**

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<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
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PSC KY NO. 9

Original                      SHEET NO. 253.8

CANCELLING PSC KY NO. 8

1<sup>st</sup> Revised                      SHEET NO. 36

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM – Appendix “E” (continued)

**Please turn off all electricity you do not have to have on.**

**Thank you for your cooperation."**

- (T) Notify the following industrial or large commercial member to request them to curtail their energy use as well: Shelby Energy's specific industrial or large commercial member listing is on file in its office.
- (T)

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COMMISSION IN CASE NO.                      DATED                     

<b>KENTUCKY PUBLIC SERVICE COMMISSION</b>
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FOR All Territory Served

PSC KY NO. 9

Original SHEET NO. 253.9

CANCELLING PSC KY NO. 8

Original SHEET NO. 37

Shelby Energy Cooperative, Inc.  
Shelbyville, Kentucky  
(NAME OF UTILITY)

RULES AND REGULATIONS

53. ENERGY EMERGENCY CONTROL PROGRAM (continued)

**APPENDIX "F"**

**MANDATORY LOAD CURTAILMENT PROCEDURE**

**Objective:**

(T) To reduce demand on Shelby Energy's system over the period during which an electric energy shortage is anticipated by interrupting firm member load in five percent (5%) blocks up to a total of twenty percent (20%) of the system load.

**Criteria:**

This procedure is implemented when requested by the EKPC System Operator. This procedure will only be requested after the Governor of Kentucky has issued a statewide State of Emergency Order.

**Procedures:**

(T) Shelby Energy will immediately dispatch personnel to interrupt service to member loads to achieve the reduction requested by EKPC. This may be achieved by interrupting services to certain nonessential loads for the entire period of the emergency or by rotating outages to various substation feeder circuits. Shelby Energy's specific plan is on file in its office.

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<b>JEFF R. DEROUEN EXECUTIVE DIRECTOR</b>
TARIFF BRANCH  <i>Brent Kirtley</i>
EFFECTIVE <b>10/1/2013</b> PURSUANT TO 807 KAR 5:011 SECTION 9 (1)